

UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20590  
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APPLICATION NUMBER	INTERNET EPOCH DATE	EXAMINER NAME (LAST, FIRST, MIDDLE)	FILED DATE
09/972,805	10/05/2001	Vicki L. Chandler	4/10/2002

Michael R. Ward  
Morrison & Foerster LLP  
425 Market Street  
San Francisco, CA 94105-2482

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ORIGINALLY FILED

CONFIRMATION NO. 6794

FORMALITIES LETTER



\*OC000000007033253\*

Date Mailed: 11/06/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

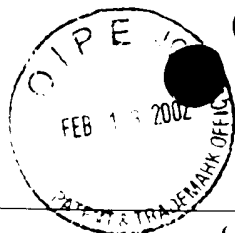
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch).

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division 7-3-3-812-2

FAX: 7-3-3-812-2



PATENT  
Docket No. 416272061200

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on **January 29, 2002**.

  
LILIA OLSEN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Vicki L. CHANDLER, et al.

Serial No.: 09/972,805

Filing Date: October 5, 2001

For: GENETIC FUNCTIONS REQUIRED  
FOR GENE SILENCING IN MAIZE

Examiner: To Be Assigned

Group Art Unit: 1638

**RESPONSE TO NOTICE TO FILE  
CORRECTED APPLICATION  
PAPERS, MAILED NOVEMBER 6,  
2001**

**BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Initial Patent Examination Division  
Washington, D.C. 20231

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Dear Sir:

In response to the *Notice to File Corrected Application Papers* dated November 11, 2001, and more specifically, requesting substitute drawings in compliance with 37 C.F.R. §1.84, for which a response was due on January 6, 2002, Applicants enclose a petition to extend the time to respond from January 6, 2002 to February 6, 2002, and submit the following **32 sheets of substitute drawings**.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and or other relief is required, applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket no. 416272061200

Respectfully submitted,

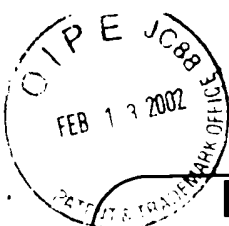
Dated: January 29, 2002

By:



Michael R. Ward  
Registration No. (38,651)

Morrison & Foerster LLP  
425 Market Street  
San Francisco, California 94105-2482  
Telephone: (415) 268-6237  
Facsimile: (415) 268-7522



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PTO/SB-17 (09-00)  
Approved for use through 10/31/2002 OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL FOR FY 2001

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Patent fees are subject to annual revision

Complete if Known

Application Number 09/972,805

Filing Date October 5, 2001

First Named Inventor Vicki L. CHANDLER, et al.

Examiner Name To Be Assigned

Group Art Unit 1638

Attorney Docket No 416272061200

TOTAL AMOUNT OF PAYMENT

(\$55.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

03-1952

Deposit  
Account  
Name

Morrison & Foerster LLP

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

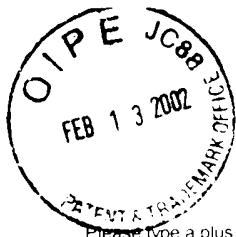
### 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	150	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) -0-

### 2. EXTRA CLAIM FEES

		Extra Claims		Fee from below		Fee Paid
Total Claims	- 20**		x	=		\$ -0-
Independent Claims	- 3**		x	=		\$ -0-
Multiple Dependent				=		\$ -0-
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## TRANSMITTAL FORM

Application Number	09/972,805
Filing Date	October 5, 2001
First Named Inventor	Vicki L. CHANDLER et al.
Group Art Unit	1638
Examiner Name	To Be Assigned

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

40

Attorney Docket No.

416272061200

### ENCLOSURES (check all that apply)

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form - 1 pg IN DUPL                 | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached   | <input checked="" type="checkbox"/> DRAWINGS - 32 sheets                                | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <b>RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS MAILED 11/6/2001 - 2 pgs</b> |   |  |
| <input checked="" type="checkbox"/> Copy of Notice as required - 1 pg                   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Affidavits/declarations  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input checked="" type="checkbox"/> Extension of Time Request - 1 pg IN DUPL            | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Express Abandonment Request                                    | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Information Disclosure Statement - ___ pgs IN DUPL             | <input type="checkbox"/> Terminal Disclaimer  | <b>RETURN RECEIPT POSTCARD</b>   |
| <input type="checkbox"/> PTO-1449 - ___ pg w/ ___ refs attached - IN DUPL               | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)                         | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application              |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53            |   |  |

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Michael R. Ward, Ph.D. (Reg. No. 38,651) MORRISON & FOERSTER LLP 425 Market Street, San Francisco, CA 94105-2842
Signature	<i>Michael R. Ward</i>

Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20540-6000  
January 29, 2002